

## Attitudes towards oral health in patients with rheumatoid arthritis

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1   **Title:** Attitudes towards oral health in patients with rheumatoid arthritis. A  
2   qualitative study nested within a randomized controlled trial

3

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31 **KEY WORDS:** Periodontitis, qualitative research, patient's perspectives, feasibility  
32 study, multimorbidities

33

34 **Knowledge transfer statement:** This article provided insights into the experiences  
35 and perceptions of rheumatoid arthritis patients about their oral health to improve  
36 patient participation in a definitive clinical trial.

37

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41 **Figures/tables legend**

42 Table 1: Demographics of the patient population (qualitative interviews)

43 Figure 1: Study flow diagram

44 Legend Fig. 1 QEHB = Queen Elizabeth Hospital Birmingham; SWBH = Sandwell

45 and West Birmingham Hospitals; HEFT = Heart of England NHS Foundation Trust

46 Figure 2: Emerging themes from the interviews

47

48    **Abstract**

49    INTRODUCTION: Patients with rheumatoid arthritis (RA) present higher incidence  
50    and severity of periodontitis than the general population. Our study, Outcomes of  
51    Periodontal Treatment in Patients with Rheumatoid Arthritis (OPERA) was a  
52    randomized waiting-list-controlled trial using mixed methods. Patients randomized  
53    to the intervention arm received intensive periodontal treatment and those in the  
54    control arm received the same treatment with a six months delay.

55    AIM: The nested qualitative component aimed to explore patient's experiences and  
56    priorities concerning oral health and barriers and facilitators for trial participation.

57    METHODS: Using purposive sampling until thematic saturation was reached, we  
58    conducted 21 one-to-one semi-structured interviews with randomized patients in  
59    either of the two treatment arms as well as with patients who did not consent for  
60    trial participation.

61    RESULTS: The patients described their experiences about RA, oral health and  
62    study participation. Previous experiences with dental care professionals shaped  
63    patients' current perceptions about oral health and the place of oral health on their  
64    list of priorities when compared with other conditions. Patients also highlighted  
65    some of the barriers and facilitators for study participation and for compliance with  
66    oral health maintenance. The patients, in the control arm, presented their views  
67    regarding the acceptable length of waiting time for the intervention. CONCLUSION:  
68    The associations between periodontal and systemic health are increasingly

69 recognised by the literature. Our study provided an insight into RA patients'  
70 experiences and perceptions about oral health. It also highlighted some of the  
71 barriers and facilitators for participating in a periodontal interventional study for this  
72 group. We hope that our findings will support the design of larger interventional  
73 periodontal studies in patients with rheumatoid arthritis. The complex challenges  
74 faced by the burden of RA and the associated multimorbidities in this patient group  
75 might highlight opportunities to improve access to oral health services in this  
76 patient population.

## 77 INTRODUCTION

78 Chronic periodontitis is a very common chronic inflammatory condition. It affects  
79 nearly half of the UK adult population and over 60% of the elderly (Chapple 2014;  
80 White et al. 2012). Several observational studies have reported an association  
81 between chronic periodontitis and rheumatoid arthritis (RA) and chronic  
82 periodontitis has been suggested as a potential risk factor for RA (de Pablo et al.  
83 2009; Konig et al. 2016; Mikuls et al. 2009; Okada et al. 2013; Ribeiro et al. 2005).  
84 Given the high prevalence of chronic periodontitis, this association could have  
85 significant clinical and public health implications.

86 The first symptoms of RA are noticed usually between the age of 35 and 50 and it  
87 affects mostly women. Within 5 years of diagnosis, 40% of patients reduce their  
88 working week from full time to part time, with an increase to 50% at 10 years from  
89 the first diagnosis (Mathers and Pflieger 2006). Rheumatoid arthritis affects  
90 patients' personal and professional relationships transforming their daily routines  
91 and quality of life. Often they have to change their working circumstances or retire  
92 early, adapt their living conditions, rely on help from external sources (family,  
93 friends or social workers) and increase their feeling of vulnerability which is added  
94 as a psychological burden to their condition (Lapsley 2002).

95 Besides the direct impact of RA on patients' quality of life, it is important to  
96 consider also the indirect impact caused by the comorbidities secondary to RA and  
97 the side effects of the long-term use of polypharmacy in this patient group.

98 RA has been frequently associated with other conditions including depression,  
99 elevated blood pressure, cardiovascular disease and respiratory conditions.  
100 (Dougados et al. 2014).

101 There are several potential mechanisms linking RA and periodontal disease. Some  
102 studies have suggested that bacteraemia caused by periodontal pathogens could  
103 be an etiological agent for RA progression (Martinez-Martinez et al. 2009).

104 Another widely supported model relates to an aberrant immune response to  
105 periodontal pathogens in certain susceptible individuals. One of the main  
106 periodontal pathogens is *Porphyromonas gingivalis*. With the recent recognition of  
107 the importance of anti-citrullinated protein antibodies (ACPA) in RA and the  
108 discovery that *P. gingivalis* expresses peptidyl arginine deiminase which is  
109 responsible for the post-translational citrullination of peptide antigens on arginine  
110 residues (Rosenstein et al. 2004), there is potential evidence to support a plausible  
111 pathobiologic mechanism by which periodontitis may cause or sustain the ACPA  
112 response in RA.

113 Recent studies have also demonstrated that the uncitrullinated peptides play a  
114 major role in the antibody response for periodontitis resulting in a systemic spread  
115 of citrullinated epitopes in the presymptomatic phase of RA. Autoantigens modified  
116 by citrullination through exposure to periodontal pathogens might sustain synovial  
117 inflammation in the context of untreated periodontitis (Lopez-Oliva et al. 2018;  
118 Rosenstein et al. 2004). Antibodies for uncitrullinated RA autoantigens precede the  
119 ACPA formation and facilitate the loss of tolerance to uncitrullinated peptides (de  
120 Pablo et al. 2013).



121 Treatment of chronic periodontitis involves control of the dental biofilm, typically  
122 using non-pharmacological means. Whether or not such treatment can reduce the  
123 incidence and severity of RA is unknown. However, a small number of  
124 interventional studies have reported encouraging results in terms of reduced RA  
125 disease activity following periodontal treatment (Al-Katma et al. 2007; Okada et al.  
126 2011; Ortiz et al. 2009).

127 Our trial, Outcomes of Periodontal Treatment in Patients with Rheumatoid Arthritis  
128 – OPERA, was a randomized waiting list controlled feasibility study. This trial  
129 provides feasibility data for a larger, multi-centre randomized controlled trial, which  
130 would investigate the efficacy of non-surgical periodontal treatment in reducing  
131 disease activity in patients with RA.

132 Our trial focussed on issues of recruitment and retention, acceptability and  
133 feasibility of the trial procedures including the intervention, assessments and data  
134 collection, using a mixed methods approach. The quantitative component of our  
135 trial gathered pilot clinical data about the efficacy of periodontal treatment in  
136 patients with RA and subsequently its influence on health-related quality of life.

137 Considering the severe burden that RA can have on the patient's quality of life,  
138 both directly and through the comorbidities associated with this condition, it is  
139 important to gain a better understanding of patients' priorities with regards to  
140 accessing different types of health care services. Additionally, it is important to  
141 ensure that the design of any interventional studies would take this into account  
142 and patients' trial participation would not create an additional burden on their  
143 quality of life. As successful periodontal treatment is heavily dependent on

144 compliance and adherence and the treatment and trial participation both require  
145 multiple visits to the secondary care setting where this treatment was being  
146 delivered we considered important to explore the barriers and facilitators for study  
147 participation in this patient group.

148 Furthermore, in order to encourage recruitment and retention rates in the trial, it is  
149 important to consider that outcomes that are relevant for clinicians and researchers  
150 might be less relevant for the patients. This could be especially the case for RA  
151 patients with multimorbidities as suggested in the literature (Cohen et al. 2004;  
152 Fleischmann et al. 2016). Understanding the health care priorities of this specific  
153 patient population and the place of oral health on their list of priorities was one of  
154 the most important objectives of the qualitative component of our study.

155 Our study used a mixed method approach with a quantitative and a nested  
156 qualitative component. The quantitative aspects of the trial will be presented in  
157 detail in a separate paper. This paper focuses on the nested qualitative component  
158 of this study.

### 159 **Aims and objectives**

160 The aim of the qualitative component of our study was to evaluate patients'  
161 experiences, values and priorities that shape their choices in accessing oral health  
162 services and identify the barriers and facilitators for participation in a randomized  
163 controlled trial. In order to meet this aim, we developed the following objectives: 1.  
164 Understand the impact of RA on the patient's quality of life and the place that oral  
165 health occupies on their scale of health priorities; 2. Identify barriers and facilitators

166 for study participation; 3. Understand RA patients' views about randomisation to  
167 the intervention or control group (delayed intervention).

## 168 **METHODS**

169 The OPERA trial recruited patients with RA, fulfilling the revised 1987 ACR  
170 classification criteria for RA (Aletaha et al. 2010). The recruitment sites were the  
171 outpatient rheumatology clinics of the Queen Elizabeth Hospital (QE), City Hospital  
172 and Heartlands Hospital all in Birmingham, U.K.

173 A total of 691 RA patients were identified as potential participants from the three  
174 recruitment sites. Of these, 118 declined participation in the trial predominantly due  
175 to the severity of their comorbidities and the numerous medical appointments that  
176 they already have to attend.

177 Of these, 296 patients consented to participate in the trial and 201 attended the  
178 periodontal screening visit at Birmingham Dental Hospital. Of these, 60 met both  
179 the RA and periodontal criteria for randomization and were allocated to either  
180 immediate intervention or waiting list control (delayed intervention) group (Figure  
181 1). The intervention consisted of non-surgical periodontal therapy delivered by a  
182 dental hygienist in two or more sessions in a secondary care setting.

183 **PLEASE INSERT FIGURE 1**

## 184 **Study oversight**

185 Ethical approval for the OPERA trial was granted (11/WM/0235, protocol number  
186 RG\_10-138 and registered via the Integrated Research Application System (IRAS)  
187 with project ID 53163.

188     **Recruitment**

189     The recruitment for the trial started in January 2014 and data collection ended in  
190     December 2016. Research and development (R&D) approval was obtained for all  
191     the participating sites.

192     Some of the inclusion criteria for the periodontal screening were, among others,  
193     fulfilment of 2010 ACR/ EULAR classification criteria of RA (Aletaha et al. 2010)  
194     and stable medication. For randomization, patients had to have a disease activity  
195     score (DAS28) of at least 3.2 and generalized moderate to severe chronic  
196     periodontitis as evidenced by pocketing with clinical attachment loss (clinical  
197     attachment loss  $\geq$  4 mm on at least 2 non-adjacent teeth and cumulative probing  
198     depth  $\geq$ 40mm).

199     For exclusion criteria, we considered history of, or current, inflammatory joint  
200     disease other than RA (including, but not limited to, gout, reactive arthritis, psoriatic  
201     arthritis, seronegative spondyloarthropathy); any surgical procedure including  
202     bone/joint surgery/synovectomy (including joint fusion or replacement) within 12  
203     weeks prior to baseline or planned during study and periodontal treatment within  
204     12 months prior to baseline.

205     A detailed description of the clinical methodology and findings will be reported in a  
206     separate paper.

207     **Screening**

208     Patients were approached for consent during their rheumatology follow-up  
209     appointments at the participating hospitals. After consenting, clinical rheumatologic

210 data were collected and a screening appointment was offered at the OPERA  
211 research clinic at Birmingham Dental Hospital. As some patients expressed an  
212 unwillingness to participate because of the logistic difficulties in getting to the  
213 Dental Hospital, further assistance was offered with transportation to these  
214 patients. Reminder letters with the appointment date and time for the Screening  
215 visit were sent out by post to each newly booked patient. One or two days before  
216 the appointment, a research nurse called the patients to remind them of their  
217 appointment.

218 At Birmingham Dental Hospital, patients were assessed in a dedicated clinic  
219 available for OPERA trial patients. This involved general clinical examination,  
220 rheumatologic assessment including the disease activity score 28 (DAS28), full  
221 mouth probing, and biological sample collection.

#### 222 **Randomization and follow-up**

223 If patients fulfilled the eligibility criteria for randomization and treatment, they were  
224 offered participation in the interventional phase of the study. After consenting for  
225 randomization and treatment, patients were randomly allocated to either immediate  
226 treatment or delayed treatment (waiting list control). For the patients allocated to  
227 the immediate treatment arm, three appointments were booked with a dental  
228 hygienist allocated for this project at maximum of three weeks after the Screening  
229 visit. Patients in the delayed treatment arm had one appointment with the same  
230 hygienist for instructions on oral health maintenance.

231 The same clinical examinations were carried out at the follow-up visits as at  
232 baseline. The patients allocated to the delayed treatment group were offered three  
233 appointments with the same dental hygienist for periodontal treatment at the end of  
234 the study. All the patients, at the end of the study received £150 to cover the  
235 possible costs regarding their commitments for study participation. Most patients  
236 who did not wish to consent for screening were offered the possibility to participate  
237 in the qualitative interview process, either face to face or over the telephone.  
238 Inviting patients who did not consent to take part in the clinical trial to participate in  
239 the qualitative interviews was particularly important to meet our aims and  
240 objectives in identifying barriers and facilitators for study participation.

#### 241 **Sample Selection**

242 For the purposes of the qualitative component of this study, we used a purposeful  
243 sampling technique aimed to include a variety of patients and to ensure broad  
244 representation of views relevant to the various aspects of study participation. We  
245 therefore invited patients who:

- 246 • Had declined to consent for the clinical intervention
- 247 • Were screened but were not eligible for randomization for the clinical  
248 intervention
- 249 • Were randomized to the immediate periodontal treatment group
- 250 • Were randomized to the control group
- 251 • Were representing gender diversity
- 252 • Presented different lengths of time since diagnosis (RA)

253 One to one, semi-structured interviews were conducted with patients from all these  
254 groups until thematic saturation was reached. As new themes emerged from the  
255 discussions, the topic guide was constantly adapted and new themes were added  
256 until saturation was reached. Saturation was defined as the stage at which no new  
257 themes emerged from the interviews and the data started to become mainly  
258 repetitive. After saturation, three more interviews were conducted for quality  
259 assurance purposes. All interviews were carried out by the same researcher to  
260 ensure consistency. All interviews were recorded and fully transcribed. The first  
261 five interviews were conducted by a dentist under the supervision of an expert in  
262 qualitative research (psychologist). The interviews were conducted at Birmingham  
263 Dental Hospital, Queen Elizabeth Hospital Birmingham and over the telephone  
264 between October 2014 and January 2016 and lasted on average 30 minutes.  
265 Sixteen interviews were conducted face to face and five over the telephone. Some  
266 participants preferred to have the interview conducted over the telephone for  
267 convenience, especially those who did not wish to consent for trial participation. In  
268 relation to the other aspects of the study, the first patient was screened in February  
269 2014 and the last patient was randomized in October 2015.

#### 270 **Topic guide**

271 The initial topic guide developed by the research team included: oral health  
272 maintenance, treatment preferences (dental and medical), access to dental care,  
273 priorities and values placed on oral health, quality of life issues, acceptability of the  
274 periodontal treatment and, if applicable, reasons for non-participation. This initial

275 topic guide was piloted with three patients who consented to participate. The  
276 piloting phase was developed and implemented by the research team to ensure  
277 methodological accuracy of the interview process. The results of these three  
278 interviews were included in the overall findings. Based on the dynamics of the  
279 discussions and the flexible structure of the interviews, new themes emerged that  
280 were incorporated in the topic guide and added to the interviews with subsequent  
281 participants.

## 282 **Data analysis and validation**

283 A framework approach to data analysis was adopted in the manner suggested by  
284 Pope et al. (Pope and Mays 2006). The framework was developed using the topic  
285 guide and additional columns were added to the framework as new themes  
286 emerged from the interviews. One researcher (dentist) carried out the interviews  
287 and the analysis in order to assure consistency and robustness. The transcripts  
288 were read and analysed independently by a second researcher (psychologist)  
289 following NICE guidelines (Tan et al. 2009). The two researchers discussed and  
290 reached consensus of the findings. A third independent researcher was available  
291 to oversee the findings in case a consensus was not reached.

## 292 **RESULTS**

### 293 **Patient demographics**

294 21 participants (15 females, 6 males) with a median age of 60 years were  
295 interviewed to participate in the interviews (Table 1).



RA disease duration ranged from 1 year to 60 years (median 19 years). More than half of the participants (n=13) had consented for periodontal screening in the study, while the remaining participants did not (n=8) (Table 1).

Table 1 Demographic characteristics of participants in the qualitative component

Pt #	Gender	Age	Years since diagnosis	Patient group
1	F	60	19	Randomized - delayed
2	M	86	20	Refused trial participation
3	F	83	60	Refused trial participation
4	F	37	9	Refused trial participation
5	M	52	13	Randomized - delayed
6	F	59	20	Refused trial participation
7	F	68	22	Refused trial participation
8	M	65	30	Randomized - delayed
9	F	60	67	Refused trial participation
10	F	65	6	Randomized - delayed
11	F	55	12	Randomized - immediate
12	F	59	2	Refused trial participation
13	M	54	14	Refused trial participation
14	M	64	10	Not eligible for randomization
15	F	62	36	Randomized - delayed
16	F	47	15	Randomized - delayed
17	F	61	15	Randomized - delayed
18	F	62	25	Randomized - immediate
19	F	62	30	Randomized - delayed
20	M	57	20	Randomized - immediate
21	F	57	1	Randomized - immediate
Median [IQR]		60 [57,64]	19 [12,25]	

The main emerging themes from the framework analysis are presented in Figure 2. These can be clustered into three main areas: “RA and quality of life”, “Oral health” and “The Study”. The new topics that emerged from the discussions were related to patients’ perceptions of oral health and their previous experience with dental care professionals. Furthermore, the patients elaborated on their health priorities,

306 perceived barriers for study participation and potential solutions for the removal of  
307 those barriers.

308 **PLEASE INSERT FIGURE 2**

### 309 **Rheumatoid arthritis and quality of life**

310 Discussions started with participants describing their experiences regarding the  
311 onset and subsequent history of their RA and the effect it had on their quality of  
312 life. All participants described the onset of their condition as highly distressing.

313 *"I remember going to pick my son up from school and walking up the high*  
314 *street and just with tears rolling down my face because I was in such pain...*  
315 *I had never known anything like it and then it just got worse from there...*  
316 *Everyday things that I would have done without blinking an eye just became*  
317 *totally impossible to do because I had no grip in my hands, no strength then*  
318 *to actually get myself up in the bed."* (P1)

319 Each story carried a vivid and painful memory associated with anxiety and distress  
320 as patients and their families struggled to understand what was happening:

321 *"The children thought I was going to die. I heard them talking to my wife*  
322 *and they said "Is dad going to die?" and I thought, blimey, I must look bad,*  
323 *but I was so thin me bones were sticking out all over the place."* (P14)

324 Some of the patients shared their stories about the impact that RA had on their  
325 work and socio-economic status. In some cases, this went as far as the patients  
326 having to change their living arrangements and make compromises in order to find  
327 ways to adapt to their new situation.

328                   *"I did retire early yes as a consequence and I had to give my home up*  
329                   *because I couldn't get up the stairs any more... So, within a very short*  
330                   *space of time from 2010 to 2014 I retired early and I lost my home... I*  
331                   *am living in a bungalow now, which has been adapted for my needs. I've*  
332                   *got a wet room as opposed to a bathroom."* (P10)

333   The majority of patients mentioned that they had taken early retirement or had to  
334   reduce their work schedule from full-time to part-time because of the impact of RA  
335   on their work life. Patients reported that this had a major negative impact on their  
336   socio-economic status.

337   Besides work, RA also affected the ability of patients to enjoy their hobbies and  
338   social activities.

339                   *"I used to enjoy football, fishing, things like that. I couldn't go fishing cos*  
340                   *I couldn't hold the rod any longer in that one position holding the rod."*  
341                   (P20)

342   As the discussions developed around the traumatizing experiences caused by the  
343   onset of RA, the patients started to describe also the challenges represented by  
344   several comorbidities that they had to deal with.

### 345   **Comorbidities and health priorities**

346   As the average age of the participants was around sixty years, comorbidities  
347   associated with RA were common. In order to gain a better insight into the reasons  
348   why they might or might not participate in the study, it was important to understand  
349   their health care priorities and the impact of their comorbidities and how they

350 prioritize the health care services that they are accessing. Another factor was to  
351 understand where oral health was situated on their list of health care priorities.  
352 Although, several patients declared oral health as a priority in the beginning of the  
353 interview, as the discussions evolved and they reported on comorbidities, they  
354 presented a tendency to prioritise other comorbidities compared to oral health:

355 *"So, I have rheumatoid arthritis and I have asthma/COPD, so I have*  
356 *breathing problems, but again somebody is looking after me... And that is*  
357 *linked to what used to be a constant round of chest infections, but they now*  
358 *seem to have this under control and then oral health is the third most*  
359 *important thing in my life. "(P9)*

360 Patients' numerous different hospital appointments represent a burden to some of  
361 the patients and the dental care occasionally tends to become less of a priority:

362 *"No, no I probably haven't been to the dentist, it has got to be a year now,*  
363 *so but part of that is that I have so many appointments for different things at*  
364 *the moment, that unless I am reminded of an appointment, or given an*  
365 *appointment they tend to slip away." (P5)*

366 As most of the patients had multiple comorbidities, some of them tended to place  
367 oral health as the last one on the scale of importance. Their main priorities were  
368 systemic conditions including RA itself, cardiovascular disease, Crohn's disease,  
369 asthma, chronic obstructive pulmonary disease (COPD), diabetes, etc.

370 *"My chest really, my chest is first then my rheumatoid. My teeth, round*  
371 *about third I think to be honest." (P7)*

372           *"But the other thing to remember is for patients like me who have got*  
373           *rheumatoid, they've probably got other ongoing conditions as well. There is*  
374           *so many things you have to try and focus on." (P4)*

375   In light of these, some patients reported that they would prefer to have their teeth  
376   extracted rather than have multiple appointments for conservative treatment:

377           *"If I had to have teeth out, I have to have them out and that's the end of it."*  
378   *(P4)*

### 379   **Periodontitis and oral health**

380   Discussions focused on patients' perceptions about oral health, their self-reported  
381   oral health status and previous experience that they had had with dental care  
382   professionals. Few participants reported having a good oral health status. Their  
383   past experiences regarding oral health care services shaped their perception  
384   regarding their current behaviour for accessing oral health services:

385           *"Then you never used to go to the dentist, they used to come around the*  
386           *school, this is going back a long time nineteen fifties and sixties. ... And*  
387           *then most of the time they just pulled your teeth out. That was, they never*  
388           *did any fillings or anything they just looked at your teeth and if they didn't*  
389           *like the look of it, they just pulled out your teeth." (P14)*

390   Patients acknowledged the importance of good oral health and reported making  
391   efforts to try to help their children to maintain good oral health:

392           *"I mean my kids so soon as they were old enough, like two or three, I would*  
393           *take them, we would take them to a dentist just to get them used to a*  
394           *dentist, because I think fear of dentists..." (P14)*

395   Many patients reported that maintaining their oral hygiene was more difficult on the  
396   days with flare-ups:

397           *"If I have a bad flare-up of arthritis, I can't ... and I miss it and I am not able,*  
398           *I don't have the strength to hold my electric toothbrush, because it is quite*  
399           *heavy" (P9)*

400           *"If my shoulder hurts then it's ... it can be a bit difficult to brush." (P13)*

401   Even holding the toothbrush could be challenging for some patients:

402           *"I could about hold it, I haven't got many teeth left anyway. It's my fear is*  
403           *dentists." (P2)*

404   Participants mentioned the importance of developing a relationship based on trust  
405   with their dental care provider. This played an important role in their attitudes  
406   towards oral health and their behaviours in seeking oral health care services:

407           *"Well I am concerned that my dentist hasn't done what needed to be done to*  
408           *save my teeth from breaking." (P13)*

409   Respondents reported being afraid of needles and consequently being afraid of  
410   dentists. Some patients stated that they would prefer to have extractions instead of  
411   restorative treatments.

412           *"I suppose out would be the best at my age I suppose out, you know." (P2)*

413   When participants were asked about the way, they felt regarding their oral health,  
414   and how they regarded the visit to their dentist, many patients (particularly the

415 more elderly) reported negative attitudes. Younger patients on the other hand  
416 reported that they would prefer to keep their natural teeth and have them treated.  
417 As the discussions continued and patients described their comorbidities secondary  
418 to RA and how oral health fitted on their list of health care priorities, they also  
419 expressed their views regarding the outcomes that matter the most for them with  
420 regards to their quality of life and wellbeing. Amongst the most important health  
421 related outcomes considered by the patients were autonomy, mobility and lack of  
422 pain.

423 One of them mentioned how she needed to plan her everyday activities depending  
424 on whether or not she had a flare-up:

425 *“You know, where before I used to think nothing of it, I would go off and do*  
426 *what I needed to do. Now, I can’t do that, if I’m in pain I have think right I*  
427 *can only do one shop today, or I can’t walk that far today.” (P12)*

428 Other stories were similar:

429 *“Health, mobility that’s very important to me that my feet were not as*  
430 *compromised as my hands. Oh, that is very, absolutely I would tie those*  
431 *two together.” (P3)*

432 *“The difficulty I was facing whilst I was working was the inability to hold a*  
433 *pen properly .... And work and a computer. Erm, sitting down meant that*  
434 *my joints got really stiff, my knee joints and my back. And my feet and as a*  
435 *consequence mobility as I say became very bad... I couldn’t get upstairs to*  
436 *the upstairs offices.” (P10)*

437 Personal mobility and the ability to keep their independence were key priorities for  
438 this patient population. This was also highlighted through the potential barriers that  
439 hindered study participation.

#### 440 **Barriers for study participation**

441 The interviews explored the reasons why some patients would be reluctant to  
442 participate in the OPERA study to identify potential barriers that could be  
443 addressed by the research team. Several patients reported having negative  
444 experiences with dentists in the past and this discouraged them to participate in  
445 our trial –

446 *“Yeah, I, I think I woke up under the gas. And, I was there was blood all over*  
447 *the place and I was only about this high. At school. And I never went again. I*  
448 *stopped going for a long time” (P20)*

449 The location of the Dental Hospital was mentioned as a hindering factor by several  
450 patients:

451 *“That was because it was the Dental Hospital and I find it difficult to get from*  
452 *my part of the town to the Dental Hospital.” (P2)*

453 *“It is a bit far away, you know the other side of town but they are moving to a*  
454 *new hospital shortly which will be more accessible, yes.” (P18)*

455 Due to classic features of RA such as mobility problems, fatigue and morning  
456 stiffness as well as logistic issues with the traffic from their homes to the location of  
457 the Dental Hospital, they found that without help, they could not attend their clinical  
458 appointments.



459 Besides the location of the Dental Hospital, patients mentioned forgetfulness and  
460 the overlap of their dental appointment with other medical appointments as being  
461 important hindering factors for study participation.

#### 462 **Removal of barriers**

463 In order to address these, the participants were asked to suggest potential  
464 solutions for these problems. Some of the hindering factors were addressed by the  
465 research team, as described in the methodology section: patients received phone  
466 call reminders about their appointments and those patients that required  
467 assistance for getting to the Dental Hospital, received support in arranging the  
468 travel logistics around getting to their appointments.

469 *"Because as I say I wouldn't have been able to undertake the study unless*  
470 *I'd have had payment for transportation." (P10)*

471 Financial incentives were set in place to compensate for the loss of time and  
472 logistics for the research and treatment visits. As all patients are unique and so is  
473 their situation and their experiences, some patients did not feel that financial  
474 incentives should encourage patients study participation:

475 *That always seems to help I did a lot of groups and the financial side of it*  
476 *isn't a big thing to me. When I did the conferences, it was all about*  
477 *expenses I was happy for my expenses to be paid, but a lot of the groups I*  
478 *also did erm, it would be like an interview, but there would be ten of us and*  
479 *we would sit around and the discussion would be recorded and you usually*  
480 *found that all those groups would be full because people were getting*

481           *financial.... they were being paid for it basically, but you would find that they*  
482           *were all full, all of them.” (P5)*

483   Some patients reported that they suffered from dental anxiety and indicated that  
484   the only way they would participate in the study would be if the screening and  
485   treatment would be done under general anaesthesia:

486           *“I mean I did say to my son because he keeps telling me off he says, “Mom,*  
487           *you really need to go and get your teeth sorted... And I said, I will go if they*  
488           *can put me to sleep”. If they can knock me out.... Yeah. I said that’s the only*  
489           *way I would have it done.” (P12)*

490   **The control arm**

491   The control arm in our study received the same treatment as the intervention group  
492   but with a delay of six months. Patients had very diverse views with regards to the  
493   how long it was acceptable to delay their treatment. Some of them preferred to  
494   have no delay at all and some were happy with a delay of up to a few years.

495   One of the patients who declined trial participation considered that treatment  
496   should be delivered immediately without any delay:

497           *“I think it should be done straightaway...I don’t think you should wait*  
498           *because with your mouth everything that goes in your stomach goes into*  
499           *your mouth so your gums are one of the main ones really aren’t they? So, I*  
500           *think you know, it should be earlier than six months.” (P6)*

501 The majority of patients, however, felt that a delay of six months to their treatment  
502 would be acceptable whilst more than that might influence them to seek treatment  
503 elsewhere.

504 *“Oh, I think it’s six months... Six months would be alright...Well, perhaps 12*  
505 *months is, I’m 84 don’t forget.” (P2)*

506 This view was shared by the majority of patients:

507 *“I was hoping not to be in the delayed group, but as I am in the delayed*  
508 *group then I leave it to you erm to help me as best you can... I wouldn’t like*  
509 *the longer waiting time.” (P15)*

#### 510 **The intervention**

511 All patients who received the intervention, both in the immediate treatment group  
512 as well as in the delayed treatment group reported having a positive experience  
513 concerning to the intervention.

514 *“I’m really pleased actually that erm doing this study because erm had it not*  
515 *been for that, this could have gone on and on and it might have got to a*  
516 *really bad situation with my gums and I wouldn’t have known so I am really*  
517 *pleased.” (P11)*

518 They highlighted the importance of being kept informed about the progression of  
519 the study and the protocol and having pleasant interactions with the research staff

520 *Yeah, they have been good, I think the experience has been good. You*  
521 *staff have been really helpful and I am aware of what is happening every*  
522 *time I come and see you. The hygienist was great, she explained what she*

523           *was going to do and what she expected to do in future, so I think it has been*  
524           *a really good experience as well and eye opening as well. " (P5)*

525   This view was shared by all the patients who received the intervention:

526           *"She made me feel so comfortable and it's embarrassing as well when you*  
527           *go to dentist... I find I get embarrassed. And because of the state of my*  
528           *teeth. I didn't feel at bit like that from the moment. I met the hygienist and I*  
529           *felt quite confident that she was confident. She knew what she was doing.*  
530           *She explained everything. And she told me if anything hurt or to stop, to*  
531           *stop her. I just felt so comfortable with her... I would do it all over again."*  
532           *(P21)*

## 533   **Discussion**

534   Most studies investigating the associations between periodontitis and RA have  
535   used quantitative methodologies (Al-Katma et al. 2007; Pinho Mde et al. 2009;  
536   Ribeiro et al. 2005). OPERA was a mixed methods feasibility study with a nested  
537   qualitative component. We aimed to explore the acceptability of our study protocol  
538   and understand RA patients' experiences and perspectives about accessing oral  
539   health care services. Furthermore, we gained some valuable insights into the place  
540   of oral health on their list of priorities, identified barriers and facilitators for study  
541   participation and gathered patients' views about the intervention and about being  
542   randomized to the control arm.

543   A large amount of the data regarding the oral health status of older people in  
544   England is generated from surveys of people living in residential and nursing care

545 homes. This represents only a minority of the elderly population and has led to a  
546 gap in our knowledge and understanding of the dental treatment preferences of  
547 this age group (Public Health England 2015). Some data suggests that for some of  
548 the older patients aesthetics are less of a priority and comfort and lack of pain are  
549 considered more important (Lord et al. 2015).

550 To our knowledge, our study is the first one to look at oral health preferences in  
551 patients with rheumatoid arthritis and at barriers and facilitators for participation in  
552 a dental trial for this patient group.

553 Our sample was diverse and we purposefully included patients from all the  
554 possible groups involved in the study: 1. Those who declined trial participation; 2.  
555 Those who were found ineligible for randomization after screening; 3. Patients who  
556 were randomized to intervention arm and 4. Patients randomized to control arm.  
557 We also aimed to include patients of both genders and with different durations of  
558 RA diagnosis.

559 We have found that patients' prior experiences, values and priorities tend to have a  
560 strong impact on shaping their choices for accessing different health care services.  
561 RA patients' treatments require a holistic approach and whilst their rheumatologic  
562 care often takes into account their different systemic comorbidities, oral health is  
563 commonly missed out from this picture. Patients identified a set of barriers and  
564 facilitators that can influence their participation in an interventional study. Some of  
565 these barriers were related to patients' limited mobility and logistic difficulties  
566 associated with getting to their dental appointments.

567 Our patients' main concerns appeared to be represented by the ability to have as  
568 "normal" a life as possible - to live independently, autonomously and pain free.  
569 These findings are in line with the literature with regards to RA patients with  
570 multimorbidities and how these shape their choices and priorities in terms of  
571 accessing health care services (Malm et al. 2017; Ward et al. 2007).

572 Our patients described their personal experiences regarding RA and the impact of  
573 this condition on their quality of life. They reported how the condition affected their  
574 physical and emotional well-being as well as the influence it had over their socio-  
575 economic status as a consequence of the reduction of work and/or early retirement  
576 based on disability.

577 Although many participants acknowledged the importance of good oral health and  
578 its potential impact on general health, when compared to RA and the other  
579 comorbidities that they have to live with, oral health was not a high priority.

580 The patients identified a number of hindering factors that might impact on their  
581 ability for study participation and some of these factors were addressed by the  
582 research team with adaptations of the study protocol.

583 In many cases, patients reported that they had to balance their life around the  
584 treatment they received for RA and for their comorbidities: this involved multiple  
585 medications, hospital visits, etc. The overall burden of RA and of the associated  
586 comorbidities over the quality of life of these patients could be quite overwhelming.

587 Compliance with regular oral hygiene maintenance is key to maintaining good oral  
588 and periodontal health but it can become an extra burden for this cohort, especially  
589 on the days when they are dealing with flare-ups caused by their rheumatoid

590 condition. Patients who struggle with high burden of debilitating systemic  
591 multimorbidities, perhaps unsurprisingly, reported that oral health was a not key  
592 priority for them.

593 We have also identified a number of limitations to this study. This cohort presented  
594 a median disease duration of 19 [12, 25] years. We acknowledge that the initial  
595 therapeutic options and approaches at the time of their diagnosis were quite  
596 different from those of today. Therefore, we can hypothesise that disease  
597 progression in this cohort could be significantly different compared to a cohort with  
598 a more recent onset of RA. This could potentially lead to different findings in a  
599 cohort with current early RA. When we developed the protocol for the randomized  
600 controlled trial, we aimed to include patients diagnosed with RA who were on  
601 stable treatment with disease-modifying antirheumatic drugs (DMARDs) for at least  
602 2 months in order to reduce the likelihood of potential confounding factors caused  
603 by medication changes. It is often the case for early diagnosed RA patients to  
604 change classes of drugs and dosages, therefore after discussing this issue with  
605 rheumatologists in the research team we decided that in order to meet this goal we  
606 focus the recruitment on patients with stable established RA.

607 From a public health perspective, the burden of non-communicable diseases  
608 (NCDs) is becoming more and more pressing on the limited resources available for  
609 national health systems. It is perhaps time to consider new, creative ways of  
610 developing care packages that may include oral health care for patients with  
611 NCDs. This idea is supported by the American Diabetes Association as well as by  
612 the French National Authority for Health, which recommends the inclusion of a

613 comprehensive periodontal examination as part of the referrals for initial care  
614 management in diabetic patients (American Diabetes Association 2018; Haute  
615 Autorité de Santé 2014). A similar approach may have beneficial effects for  
616 patients with other NCDs such as rheumatoid arthritis, cardiovascular disease,  
617 kidney disease, etc.

618 The nested qualitative component of the OPERA trial provided an insight into  
619 rheumatoid arthritis patients' experiences and perceptions with regards to oral  
620 health. Our study also highlighted some of the potential barriers and facilitators for  
621 participating in a periodontal interventional study in this patient population. We  
622 hope that these findings will support the design of larger interventional periodontal  
623 studies in patients with rheumatoid arthritis.

624

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637

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